

# ÖNEM GIDA - Cocoa Beans Sourcing / Coops Assessment Checklist

NAME OF COOPS:  AUDITOR:  DATE:

TRACEABILITY & TRANSPARENCY	COMMENTS:
Of the total amount of beans purchased by the coop, what % (by volume) is traceable to farm level?	
Of the total amount of beans purchased by the coop, what % (by volume) is traceable to farm level with GPS locations and farm size?	
Were there instances of child labor that the coop identified and publicly reported on in the past 12 months (Yes/No and if yes, how many cases?)	
LIVING INCOME	COMMENTS:
Please indicate the programs and activities the coop is undertaking to provide support for cocoa farmers:	
1-School for children	
2-Empowerment for women	
3-Education with farmers (including income diversification)	
4-Clean water	
5-Sanitation	
6-Environmental education (deforestation, agroforestry and chemical use)	
7-Programs to increase crop yield	
8-Child labor prevention education	
9-Other (please specify)	
Please indicate how the coop is measuring the impact of these programs	
Does the coop know the average household income (or have any data on the household income) of the cocoa farmers the company is working with or sourcing from? (Yes/Some - in the process of researching/No)	
Does the coop know by how much (by percentage) has the household income increased or decreased in the last 12 months and 24 months?	
CHILD LABOR	COMMENTS:
Does the coop have a child labor monitoring and remediation system (CLMRS) (or a specific system which the coop has implemented, designed to deliver similar or better outcomes) in the communities it sources cocoa from?	
How many farms (and what percentage of the farms the coop is supplying pladis from) are covered by the child labor remediation system?	
What does the CLMRS (or equivalent system) of the coop include? Check all that apply:	
1-Development and implementation of community action plans to protect children and tackle child labor	
2-Processes to identify all children in communities and to intervene with those at most risk of child labor	
3-Training on the causes and consequences of child labor	
4-Processes, if it becomes necessary, to remove children from a situation of harm	
5-Facilitators present in the community to raise awareness and identify cases and request remediation actions	
6-Gathering, aggregating and analyzing data to improve the system	
7-Monitoring school attendance	
8-Ensuring children have birth certificates	
9-Other (please specify)	
Is Earthworm in a position to assess the impact of the CLMRS and if so, what are the main findings?	
If extreme poverty of the family is found to be the cause of child labor, what will the coop do to increase the income / support of the family?	
What are the coops future plans / road map for further development of its policies and actions to prevent and remediate child labor? Please provide evidence.	
DEFORESTATION & CLIMATE	COMMENTS:
Does the coop have a deforestation-free monitoring system and if so, how does this system work?	
Does the coop know the % of cocoa that it is sources for pladis coming from:	
1-Areas deforested since 2010	
2-Farmers who have been deforesting after november 2017	
Please describe the activities undertaken by the coop/farmers for forest protection, restoration, conservation of protected areas and parks and provide some evidence	
AGROFORESTRY	COMMENTS:
What percentage of cocoa the coop sources, is grown in an agroforestry setting?	
Does the coop provide any training and support for initial investments when farmers in the coop's supply chain are transitioning to growing in an agroforestry setting	
<input type="checkbox"/> Yes - full compensation and training (describe and provide evidence of what support is provided and details about the training conducted)	
<input type="checkbox"/> Yes - some support and training (describe and provide evidence of what support is provided and details about the training conducted)	
<input type="checkbox"/> Yes - training only (describe and provide evidence of the details about the training conducted)	
<input type="checkbox"/> No	
CHEMICAL MANAGEMENT	COMMENTS:
How is/are the coop/farmers ensuring that children are not exposed to pesticides? Please provide details	
Does the coop have a policy on the use of chemicals in the cocoa supply chain and if so, please could it share the policy?	

Supplier name

Please note that the questions below apply only to the production of the cocoa you supply ÖNEM with.

## ÖNEM GIDA Sustainable Cocoa Questionnaire

### Policy/Procurement

Do you have a sustainability cocoa sourcing commitment? If yes, please share.	
Do you have sustainable cocoa sourcing targets? If yes, please share.	
Do you have an action plan to meet your sustainable cocoa sourcing ambitions? If yes, please share.	
Does your company have an official position on human rights due diligence? If yes, please share.	
Do you have an agroforestry policy in place? If yes, please share	
Do you have a policy addressing the use of crop protection products? If yes, please share.	
Have you set targets for reducing crop protection products in production? If yes, please share targets and progress.	
Do you have a water usage policy in place? If yes, please share?	
Have you set targets for reducing water usage in cocoa production? If yes, please share targets and progress.	
Do you have a policy addressing the reduction of CO2 emissions and do you have reduction targets in cocoa production? If share, please share policy, targets and progress.	
What sustainable cocoa industry groups does your company participate in (e.g. WCF, CFI, etc.)?	
What is your current approach to buying certified cocoa? (e.g. no interest, company commitment to buy 100% certified, only buy when price is attractive, etc.)	
What is the process for new contracts and adding new suppliers (e.g. do you have specific requirements suppliers must meet before they can become a supplier? Is there a supplier evaluation process?, etc.)?	

### Transparency

What percentage of the cocoa products you purchase are traceable to cocoa cooperative? Please describe the process to collect this information.	
What percentage of the cocoa products you purchase are traceable to farm level? Please describe the process to collect this information.	
Do you publicly report your traceability information? If yes, please share link to the information. If not, is your company planning on doing so?	

### Changing practices at farmer level

What is your approach to ensuring that farmers in your physical supply chain are earning fair prices (e.g. paying living income differential (LID), other premium program, etc.)?	
Please describe any activities which your company is supporting to increase the net income for farmers? Please share any information on scope of this program (% of farmers benefiting from this)	
Do you have specific initiatives promoting smallholders? If yes, please share.	
Are you supporting farmers transition to agroforestry/climate-smart productive systems? If yes, please share additional information about this program (e.g. action plan, scope, etc.)	
Do you have a process to ensure that deforestation-free cocoa is entering the supply chain (satellite monitoring system, deforestation risk analysis, etc.). If yes, please describe.	
Are you supporting any programs to protect or restore forest areas? If yes, please describe.	
What is your approach to ending child labor in your physical supply chain (e.g. CLMRS, etc.)?	
Is there any other sustainability initiative which you would like to mention?	